Complaints and compliments policy

Department: Corporate

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1. **INTRODUCTION**

One Housing recognises that providing a high standard of service delivery is essential. The proper handling of complaints is therefore one of One Housing’s highest priorities. Staff members are trained to perceive complaints as a valuable source of information about services and processes that handled in the correct manner can assist in continuous improvement.

To ensure that this policy meets the support needs across One Housing’s diverse range of customers, it has been written in consideration of the Principles of Good Complaint Handling (Parliamentary And Health Service Ombudsman, 2008), The National Patient Safety Agency Being Open Framework and the Department of Health 2009 guidance on better customer care.

This policy should be considered in conjunction with One Housing’s [Compensation and other payments policy](#).

1.1 Definitions

**Compliments**

This policy encourages all kinds of feedback. Anyone who has a relationship with One Housing can compliment a member of staff, a team or the organisation. Compliments are passed on to staff and their line manager, and are used to identify areas of good practice that One Housing can learn from. Compliments can be submitted verbally to any member of staff who will forward this on or can be forwarded to solutionsbox@onehousinggroup.co.uk.

**Complaints**

One Housing operates a complaints process with up to 2 stages, covering issues relating to:

- A failure to comply with policy, procedure or standards of service delivery.
- A decision which the complainant believes is not fair or clear to them.
- The behaviour of One Housing staff or contractors.

**Stage 1 – Internal investigation of complaint**

Full written response within 10 working days

**Stage 2 – Review of Stage 1 decision.**

Full written response within 10 working days

**Complaint remains unresolved at Stage 1**

- Services for which One Housing is not responsible.
- Where the complainant is taking legal action against One Housing on the same issue or One Housing is taking legal action against the complainant on the same issue.
- Complaints submitted anonymously (One Housing will attempt investigation where possible).
- Complaints submitted six months or more after the issue occurred and it is being brought to One Housing’s attention for the first time. Exceptions may be made at the discretion of the manager responsible for the service.
- Where the complainant is an employee of One Housing (unless they occupy a One Housing property and the complaint relates to issues around their tenancy).
- Reporting repairs, anti-social behaviour or bulk rubbish.
• Complaints that relate to insurance or damage claims that have been passed to our insurers. One Housing will close any related complaint until the insurer’s decision is known.
• Complaints about the level of service charge or its reasonableness. Please refer to One Housing’s Rent and service charge policy.
• Complaints that relate to crimes that have been committed in communal parking areas or bike sheds. Vehicles are left at the owner’s own risk.
• Complaints that relate to ASB case management that is currently ongoing. These will be dealt with in line with One Housing’s Anti-Social Behaviour Policy in the first instance.

For complaints about services covered by Care Quality Commission (CQC) and/or local or health authorities see section 3.7.

Complainants

Complaints from the following individuals or groups will be managed under this policy:

• Potential and current social housing residents of One Housing as defined in the Housing & Regeneration Act 2008 (refer to glossary for additional information).
• Non-One Housing social housing tenants living in properties managed by One Housing.
• Social housing tenants living in agency managed properties must have first utilised the agency’s complaints procedure.
• Service users of One Housing, including Housing Care and Support.
• MPs and councillors making a complaint on behalf of a tenant.
• Other advocates acting on behalf of a tenant (see section 3.6). This does not include legal representatives who write to us with a view to take legal action.
• Individuals who are affected by work carried out by One Housing and its partners where those individuals would have access to the Housing Ombudsman to make a complaint about One Housing.
• Tenant Associations will follow the procedure set out in their signed recognition agreement.

The following will sit outside of this policy:

• Complaints from other One Housing customers such as Leaseholders, Market Renters, Freeholders and Commercial Units will be referred to the Non-social housing services complaints policy.
• Complaints from Aftersales customers relating to the sale of private homes and any defect related issues will be managed in accordance with the Aftersales Customer Service Standards.
• Complaints will only be taken from ex-residents if the individual initially expressed dissatisfaction about the issue whilst they were still residing in a One Housing property. In all other instances, One Housing will treat the query as an enquiry only.
• Season customers from Housing Care and Support must utilise the Season complaints policy.
• Subject Access Requests are dealt with in accordance with One Housing’s Privacy notice.
• Housing associations are not subject to the Freedom of Information Act 2000 and One Housing will not release commercially sensitive information unless legally obliged to do so.

2. AIMS & OBJECTIVES

Aims
• To deliver a complaints service that meets One Housing’s service standards.
• To facilitate organisational learning from complaints.

Objectives
• To work collaboratively internally, with partner organisations and other agencies where necessary to coordinate comprehensive outcomes to complaints.
• To facilitate the effective and early resolution of complaints.
• To use the analysis of complaints to help One Housing improve services and reduce the level of complaints.
• To manage all complaints in an open and accountable way.

3. POLICY STATEMENT
3.1. How to complain

| In writing | Business Insight Team  
|            | One Housing  
|            | Suttons Wharf South  
|            | 44 Palmers Road  
|            | E2 0TA |
| By email   | complaints@onehousing.co.uk |
| By telephone | 0300 123 9966 |
| By fax     | 020 8821 5250 |
| Via One Housing website | www.onehousing.co.uk |

| In person to any member of staff |

3.2. How One Housing manages complaints

• Ensure that all tenants know how to complain.
• Make personal contact with complainants, ideally by telephone, to understand their complaint, attempt resolution and provide an update.
• Acknowledge all complaints in writing within 2 working days.
• Respond to stage 1 complaint within 10 working days. Where this is not practically possible, One Housing will give reasons and agree new timescales with the complainant.
• Review and respond to stage 2 complaints within 10 working days following receipt of the escalation request.
• Support vulnerable customers through the complaints process and, where appropriate, refer them to alternative services that may be able to assist.
• Provide alternative avenues to seek support when the service requested is outside One Housing’s remit where appropriate and where possible.
• Where a property is owned by a third party, One Housing will aim to resolve the complaint to the best of its ability. However, there may be occasions where there is limited recourse available to One Housing if the freeholder will not collaborate to resolve the issue.
• If a complaint is received from a group of residents, the group must nominate a lead resident who One Housing will correspond with in regards to the complaint.

3.3. When a complaint may be refused or terminated

In exceptional circumstances One Housing may refuse to deal with a complaint completely. Such circumstances include:

• If a complaint is about the same matter that has already been considered with only very minor difference and has exhausted the complaints process, One Housing will advise that the matter is closed. The complainant then has the right to seek redress from external parties (legal representative, designated persons, Housing Ombudsman Service and where appropriate the CQC, local or health authorities)
• A complaint escalation may be refused at senior management’s discretion, if the resolution required by the complainant is out of the remit or responsibility of One Housing or is excessive given the service failure. This will be explained fully in writing
• Where a complainant or their advocate is persistent, vexatious or unreasonable (see section 3.4)
One Housing will not meet excessive demands or answer every point in an unreasonable letter. In such circumstances One Housing may consider it appropriate to end a complaint.

3.4. Persistent or vexatious complaints

If a complainant has made unreasonable complaints in the past, One Housing will not assume that their next complaint is unreasonable. Each case will be considered on its merits. All relevant correspondence will be evaluated to consider the circumstances, including:

- Whether a complainant has made persistent or unreasonable demands
- Whether there is a strong likelihood that complaints are being made to intentionally cause harassment, divert resources or to disrupt the proper workings of One Housing
- Whether the complainant or their representative has been abusive or threatening to staff or has produced excessive correspondence
- Whether the complainant displays vexatious behaviour.

The handling of these complaints will be managed in line with One Housing’s Unacceptable customer behaviour policy.

3.5. Deviation from policy

One Housing aims to be consistent in the application of this policy. However One Housing may deviate from this with agreement from a Senior Manager, if circumstances merit it. Deviation from the policy will be recorded and explained to all parties involved.

3.6. Advocates

One Housing recognises that some people who wish to complain may need support in doing so. One Housing accepts complaints made by advocates on behalf of a complainant. One Housing will take steps in line with its Data protection policy to make sure that the complainant is in agreement. A completed and signed advocacy form may be required to evidence a complainant’s authorisation. Where for reasons of incapacity, it is not possible for the complainant to authorise an advocate in this way, we will request other evidence that the advocacy is legitimate e.g. a Mental Capacity Act Assessment (MCAA).

One Housing may accept complaints relating to Housing Care and Support services where an advocacy form has not been provided and without a MCAA, but only to the extent that no personal data will be released in resolving the complaint.

3.7. External Complaints Resolution Bodies

On the rare occasions when One Housing is unable to conclude its complaints process to the satisfaction of the complainant, they will be advised of their right to approach a designated person such as an MP, Councillor or a recognised Designated Tenant Panel. In accordance with the Localism Act 2011, complainants may refer their complaint directly to the Housing Ombudsman Service eight weeks after exhaustion of One Housing’s complaints procedure.

Complaints relating to services registered by the Care Quality Commission, or commissioned by the Local or Health Authorities retain their right to complain to these bodies directly at any stage. These organisations will often expect internal complaints procedures to have been fully exhausted before getting involved, but in some cases will investigate before internal procedures are completed. One Housing respects the rights of its Housing Care and Support customers to complain to these statutory bodies and will work openly with partner agencies to resolve any legitimate concerns that customers raise.
4. LEGISLATION, REGULATION & GUIDANCE

Government legislation has an impact on how One Housing can implement its Complaints and compliments policy. Listed below are the key regulatory standards and statutory Acts that have been acknowledged:

- Homes & Communities Agency Regulatory Framework 2015
- Localism Act 2011
- Mental Health Capacity Act 2005
- Equality Act 2010
- Data Protection Act 1998

5. CONFIDENTIALITY

Under the Data Protection Act 1998 and the Human Rights Act 1998, all personal and sensitive organisational information, however received, is treated as confidential, including:

- anything of a personal nature that is not a matter of public record about a tenant, customer, applicant, staff or committee member
- sensitive organisational information.

Officers will ensure that they only involve other agencies and share information with the consent of the resident concerned, unless:

- One Housing is required to by law
- the information is necessary for the safeguarding of children and vulnerable adults.

6. MONITORING & REPORTING

Complaints will be monitored and the findings will be regularly published to scrutiny groups, residents and the Board. In line with best practice recommended by the Principles of Good Complaint Handling (Parliamentary and Health Service Ombudsman, 2008) One Housing seeks continuous improvement through using feedback and lessons learnt from complaints to improve service design and delivery.

7. GLOSSARY OF TERMS USED IN THIS POLICY

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
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<tbody>
<tr>
<td>Advocate</td>
<td>A person or persons acting on behalf of a complainant to assist them in making and managing their complaint with One Housing.</td>
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<tr>
<td>Aftersales</td>
<td>The customer support and services provided to customers who have purchased a home from Citystyle or One Housing.</td>
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<tr>
<td>Citystyle</td>
<td>One Housing’s entity for managing the services for non-social housing customer groups, including leaseholders, market renters, commercial unit customers and freeholders (owner occupiers).</td>
</tr>
<tr>
<td>CQC</td>
<td>Care Quality Commission – a UK regulator that checks whether hospitals, care homes and care services meet national standards. For more information see <a href="http://www.cqc.org.uk">www.cqc.org.uk</a>.</td>
</tr>
<tr>
<td>Designated Persons</td>
<td>Designated Person is defined in the Localism Act 2011 as an MP, Councillor for the region in which the complainant’s home is located, or a recognised Designated Tenant Panel. For more information see the guidance on <a href="http://www.housing-ombudsman.org.uk">www.housing-ombudsman.org.uk</a>.</td>
</tr>
<tr>
<td>Housing Ombudsman Service</td>
<td>Housing Ombudsman Service – an Ombudsman service to look at complaints about registered providers of social housing. For more information see <a href="http://www.housing-ombudsman.org.uk">www.housing-ombudsman.org.uk</a>.</td>
</tr>
<tr>
<td>Mental Capacity Act</td>
<td>Mental Capacity Act 2005 – a UK parliamentary Act designed to protect people who lack the capacity to make particular decisions. For further information see <a href="http://www.legislation.gov.uk">www.legislation.gov.uk</a>.</td>
</tr>
<tr>
<td>Housing Care and Support</td>
<td>One Housing’s entity for support service – providing support for vulnerable customer groups. For more information see <a href="http://www.onehousinggroup.co.uk/one-support">www.onehousinggroup.co.uk/one-support</a>.</td>
</tr>
<tr>
<td>Senior Manager</td>
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<tr>
<td>▪ Head of service area</td>
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<tr>
<td>▪ Assistant Director of service area</td>
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<tr>
<td>▪ Director for service area.</td>
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<table>
<thead>
<tr>
<th>Social Housing Tenant</th>
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<tr>
<td>Defined in the Housing Regeneration Act 2008 as someone in &quot;low cost rental&quot; or &quot;low cost home ownership.&quot; This includes</td>
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<tr>
<td>▪ General needs tenants</td>
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<tr>
<td>▪ Shared Owners</td>
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<td>▪ Key workers</td>
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<tr>
<td>▪ Rent to Home Buy</td>
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<td>▪ Intermediate rented.</td>
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